

DEC 0 3 20

Attorney Docket No.: A-69605-1/DJB/RMS/DCF

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GUNDERSON et al.

Serial No. 09/940,185

Filed: August 27, 2001

For:

PROBES AND DECODER

OLIGONUCLEOTIDES

Examiner: NOT YET ASSIGNED

Group Art Unit: NOT YET ASSIGNED

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents.

Washington, DC 20231 on:

Signed:

Christine P. Peters

### INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner** for Patents Washington, DC 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Copies of the documents are enclosed herewith.

None of the foregoing references are believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

**Serial No.**: 09/940,185

Filed: August 27, 2001

This Information Disclosure Statement is being filed within three months of the filing date of a national application, within three months of the date of entry of a national stage, or before the mailing date of a first Office Action on the merits. 37 C.F.R. § 1.97(b), and therefor no fee is required. The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-

Respectfully submitted,

FLEHR, HOHBACH, TEST, ALBRITTON & HERBERT

Dated: Other 23, 2001

David C. Foster Reg. No. 44,685

Four Embarcadero Center Suite 3400

69605-1/DJB/RMS/DCF).

San Francisco, CA 94111-4187 Telephone: (415) 781-1989

1065873

0013

PTO/SB/8A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, r spond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	•			)
Sheet	1	of	6	-

d to a concection of information diffess it contains a valid OVID control number.						
Complete if Known						
Application Number	09/940,185					
Filing Date	August 27, 2001					
First Named Inventor	Gunderson et al.					
Group Art Unit	Not Yet Assigned					
Examiner Name	Not Yet Assigned					
Attorney Docket Number	A-69605-1/DJB/RMS/DCF					

		-		U.S. PATENT DOC	UMENTS		
Examiner	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials*		Number	Kind Code <sup>2</sup> (if known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	
	1	4,200,110		Peterson et al.	4/1980		
	2	4,499,052		Fulwyler	2/1985		
	3	4,682,895		Costello	7/1987		
	4	4,785,814		Kane	11/1988		
	5	4,822,746		Walt	4/1989		
	6	4,824,789		Yafuso et al.	4/1989		
	7	4,999,306		Yafuso et al.	3/1991		
	8	5,002,867		Macevicz	3/1991		
	9	5,028,545		Soini	7/1991		
	10	5,105,305		Betzig et al.	4/1992		
	11	5,114,864		Walt	5/1992		
	12	5,132,242		Cheung	7/1992		
	13	5,143,853		Walt	9/1992		
	14	5,194,300		Cheung	3/1993		
	15	5,244,636		Walt et al.	9/1993		

						FOREIGN PATENT DOCUMEN	ITS				
Examiner Initials*	Cite No.1	Foreign Office <sup>3</sup>			Kind Code <sup>2</sup>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	16	EP	0 269 764	A	11	Molecular Biosystems, Inc.	6/1988				
	17	EP	0 392 546	Α	12	Drmanac et al.	10/1990				
	18	EP	0 478 319	A	<b>\1</b>	Kabushiki Kaisha Toshiba	4/1992	*	$T_{-}$		
	19	EP	0 723 146	A	<b>1</b> 1	SRI International	7/1996				
	20	WO	89/11101	A	<b>\1</b>	Dynal A.S.	11/1989				
	21	WO	93/02360	A	<b>A</b> 1	Transmed Biotech Incorporated	2/1993				
	22	WO	96/03212	A	<b>\1</b>	Brenner	2/1996				
	23	wo	97/14028	A	12	Luminex Corp.	4/1997				
	24	WO	97/14928	A	<b>A1</b>	Brint, N.	4/1997				
	25	WO	97/40385	A	<b>\</b> 1	Seul, M.	10/1997				
	26	wo	98/40726	P	<b>\1</b>	Trustees of Tufts College	9/1998				

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DEC 0 3 2001

PTO/SB/8A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respect to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 6

Complete if Known						
Application Number	09/940,185					
Filing Date	August 27, 2001					
First Named Inventor	Gunderson et al.					
Group Art Unit	Not Yet Assigned					
Examiner Name	Not Yet Assigned					
Attorney Docket Number	A-69605-1/DJB/RMS/DCF					

				U.S. PATENT DOC	UMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials		Number	Kind Code <sup>2</sup> (if known)	of Ched Document	MM-DD-YYYY	Passages or Relevant Figures Appear	
	27	5,244,813		Walt et al.	9/1993		
	28	5,250,264		Walt et al.	10/1993		
	29	5,252,494		Walt	10/1993		
	30	5,254,477		Walt	10/1993		
	31	5,298,741		Walt et al.	3/1994		
	32	5,302,509		Cheeseman	4/1994		
	33	5,320,814		Walt et al.	6/1994		
	34	5,357,590		Auracher	10/1994		
	35	5,380,489		Sutton et al.	1/1995		
	36	5,435,724		Goodman et al.	7/1995		
	37	5,474,895		Ishii et al.	12/1995		
	38	5,481,629		Tabuchi	1/1996		
	39	5,494,798		Gerdt et al.	2/1996		
	40	5,496,997		Pope	3/1996		
	41	5,512,490		Walt et al.	4/1996		
	42	5,516,635		Ekins et al.	5/1996		
	43	5,565,324		Still et al.	10/1996		

						FOREIGN PATENT DOCUME	NTS		
Examiner Initials*	Cite No.1	Foreign	Patent Docum		1.2	Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
minus		Office <sup>3</sup>	Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known)		of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>	
	44	WO	98/50782	_	<b>A</b> 3	Trustees of Tufts College	11/1998		
	45	WO	98/53093		A1	Bioarray Solutions LLC	11/1998		
	46	WO	98/53300		A2	Lynx Therapeutics, Inc.	11/1998		
	47	WO	99/18434		A1	Trustees of Tufts College	4/1999		
	48	WO	99/60170		A1	Hyseq Inc.	11/1999		
	49	WO	99/67414		A1	Glaxo Group Ltd.	12/1999		
	50	WO	99/67641		A2	Illumina Inc.	12/1999		
•	51	wo	00/04372		A1	Univ. of Texas	1/2000		
	52	wo	00/13004		A2	Trustees of Tufts College	3/2000		

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (1065860)

PTO/SB/8A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Sons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete: 5.7 Under the Paperwork Reduction Act of 1995 Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of

Complete if Known					
Application Number	09/940,185				
Filing Date	August 27, 2001				
First Named Inventor	Gunderson et al.				
Group Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	A-69605-1/DTB/RMS/DCF				

				U.S. PATENT DOC	UMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Do		Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
		Number	Kind Code <sup>2</sup> (if known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear	
	53	5,573,909		Singer et al.	11/1996		
	54	5,575,849		Honda et al.	11/1996		
	55	5,633,972		Walt et al.	5/1997	-	
	56	5,639,603		Dower et al.	6/1997		
	57	5,656,241		Seifert et al.	8/1997		
	58	5,690,894		Pinkel et al.	11/1997		
	59	5,814,524		Walt	10/1998		
	60	5,840,256		Demers et al.	11/1998		
	61	5,854,684		Stabile et al.	12/1998		
	62	5,863,708		Zanzucchi et al.	1/1999		
	63	5,888,723		Sutton et al.	3/1999		
•	64	5,900,481		Lough et al.	5/1999		
	65	6,023,540		Walt et al.	2/2000	-	
	66	5,679,524		Nikiforov et al.	10/1997		
	67	5,856,083		Chelsky et al.	01/1999		
	68	5,858,732		Solomon et al.	01/1999		

						FOREIGN PATENT DOCUMEN	ITS		
Examiner	Cite No.1	Foreign	Patent Docum			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials*	110.	Office <sup>3</sup>	Number⁴	Kind Co (if know			MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
	69	WO	00/16101		A2	Trustees of Tufts College	3/2000		
	70	WO	00/39587		A1	Illumina Inc.	7/2000		
	71	wo	00/47996		A2	Illumina Inc.	8/2000		
	72	WO	00/48000		A1	Illumina Inc.	9/2000		
	73	WO	00/63437		A2	Illumina Inc.	10/2000		
	74	WO	00/71243		A1	Illumina Inc.	11/2000		
	75	WO	00/71995		A2	Illumina Inc.	11/2000		
	76	WO	00/75373		A2	Illumina Inc.	12/2000		
									+
									+

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (1065860)

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

DEC 0 3 2001

PTO/SB/8A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ond to a collection of information unless it contains a valid OMB control number.

U.S. Patent and Trademark Office: U.S. DEJ
Under the Paperwork Reduction Act of 1995, no personage required to respond to a collection of information unless it contains a valid

Substitute for form 1449A/PTO

Complete if Know

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 6

Complete if Known			
Application Number	09/940,185		
Filing Date	August 27, 2001		
First Named Inventor	Gunderson et al.		
Group Art Unit	Not Yet Assigned		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	A-69605-1/D/B/RMS/DCF		

				U.S. PATENT DOC	UMENTS	
Examiner Initials*	Cite No.1			Name of Patentee or Applicant	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant
		Number	Kind Code <sup>2</sup> (if known)	of Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear
	77	6,013,456		Akgavan-Tafti	01/2000	
	78	6,051,380		Sosnowski et al.	04/2000	
	79	6,110,678		Weisburg et al.	08/2000	
	80	6,172,218	B1	Brenner	01/2001	
	81	6,083,763		Balch	07/2000	
	82	5,494,810		Barany et al.	02/1996	
	83	5,830,711		Barany et al.	11/1998	
	84	6,027,889		Barany et al.	02/2000	
	85	6,054,564		Barany et al.	0/2000	
	86	6,251,639	B1	Kurn	06/2001	
	87	6,268,148	B1	Barany et al.	07/2001	
	<u> </u>					

					FOREIGN PATENT DOCUM	MENTS		
Examiner Initials*	Cite No.1	Foreign Office <sup>3</sup>	Patent Docum	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applican of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	88	WO	98/13523	A.	Pyrose Quencing AB	04/1998	-	
	89	WO	93/25563	A	City of Hope	12/1993		
	90	WO	97/31256	A	Cornell Research Foundation	08/1997		
	91	WO	00/58516	A	Whitehead Institute for Biomedical Research	10/2000		
	92	WO	00/71992	A	Illumina Inc.	11/2000		$\blacksquare$
								+
								$\blacksquare$
	_							$\top$

Signature Considered	Signature		
----------------------	-----------	--	--

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (1065860)

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.

•				
Please type a	plus sign (+)	inside this	box 🛶	Ħ.

6

5

Sheet

PTO/SB/8B (08-00) Approved for use through 10/31/2002. OMB 0651-0031

A-69605-1/DJB/RMS/DCF

lease type a plus sign (+) inside this box → F DEC 0 3 2001  Juder the Paperwork Reduction Act of 1995, no arrsons are required to response	U.S. Patent and Trac nd to a collection of information	Approved for use through 10/31/2002. OMB 0651-003 Jemark Office: U.S. DEPARTMENT OF COMMERCI unless it contains a valid OMB control number.
Substitute for form 1449B/PTO TRADEMINAN		Complete if Known
	Application Number	09/940,185
INFORMATION DISCLOSURE	Filing Date	August 27, 2001
STATEMENT BY APPLICANT	First Named Inventor	Gunderson et al.
(use as many sheets as necessary)	Group Art Unit	Not Yet Assigned
(mas sia many ansata dia macadadany)	Examiner Name	Not Yet Assigned

Attorney Docket Number

	T	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS  Include name of the outbox (in CARITAL LETTERS) title of the orticle (when appropriate) title of the	T
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	93	Abel et al., "Fiber-Optic Evanescent Wave Biosensor for the Detection of Oligonucleotides," Anal. Chem. 68:2905-2912 (1996).	
	94	Anonymous, "Microsphere Selection Guide," Bandg Laboratories, (Fisher, In) September 1998.	
	95	Anonymous, "Fluorescent Microspheres," Tech. Note 19, Bang Laboratories, (Fishers, In) February 1997.	
	96	Bangs, L.B., "Immunological Applications of Microspheres," The Latex Course, Bangs Laboratories (Carmel, IN) April 1996.	
	97	Barnard et al., "A Fibre-Optic Chemical Sensor with Discrete Sensing Sites," Nature, 353:338-340 (September 1991).	
	98	Chen et al., "A Microsphere-Based Assay for Multiplexed Single Nucleotide Polymorphism Analysis Using Single Base Chain Extension," Genome Research, 10(4):549-557 (2000).	
	99	Czarnik, "Illuminating the SNP Genomic Code," Modern Drug Discovery, 1(2): 49-55 (1998).	
	100	Drmanac, R. et al., "Sequencing by Oligonucleotide Hybridization: A Promising Framework in Decoding of the Genome Program," The First International Conference on Electrophoresis, Supercomputing and the Human Genome, Proceeding os th April 10-13, 1990 Conference at Florida State University. Ed. C. Cantor and H. Lim.	
	101	Drmanac, R. et al., "Prospects for a Miniaturized, Simplified and Frugal Human Genome Project," Scientia Yugoslavica, 16(1-2):97-107 (1990).	
	102	Drmanac, R. et al., "Sequencing by Hybridization (SBH) with Oligonucleotide Probes as an Integral Approach for the Analysis of Complex Genomes," International Journal of Genome Research, 1(1):59-79 (1992).	
	103	Drmanac, R. et al., "Sequencing by Hybridization," Automated DNA Sequencing and Analysis, ed. M. Adams, C. Fields and J. Venter. (1994).	
	104	Ferguson et al., "A Fiber-Optic DNA Biosensor Microarray for the Analysis of Gene Expression," Nature Biotechnology, 14:1681-1684 (1996).	
	105	Fuh et al., "Single Fibre Optic Fluorescence pH Probe," Analyst, 112:1159-1163 (1987).	1
	106	Healey et al., "Improved Fiber-Optic Chemical Sensor for Penicillin," Anal. Chem. 67(24):4471-4476 (1995).	
	107	Healey et al., "Development of a Penicillin Biosensor Using a Single Optical Imaging Fiber," SPIE Proc. 2388:568-573 (1995).	
	108	Healey et al., "Fiberoptic DNA Sensor Array Capable of Detecting Point Mutations," Analytical Biochemistry, 251:270-279 (1997).	
	109	Hirschfeld et al., "Laser-Fiber-Optic "Optrode" for Real Time In Vivo Blood Carbon Dioxide Level Monitoring," Journal of Lightwave Technology, LT-5(7):1027-1033 (1987).	
	110	Iannone et al., "Multiplexed Single Nucleotide Polymorphism Genotyping by Oligonucleotide Ligation and Flow Cytometry," Cytometry, 39:131-140 (2000).	

Examiner Signature	Date Considered		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English Language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/8B (08-00)

Under the Paperwork Reduction Act of 1995, no person a collection of information unless it contains a valid OMB control number. are required to respon

Substitute for form 1449B/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 6 6 of

Complete if Known			
Application Number	09/940,185		
Filing Date	August 27, 2001		
First Named Inventor	Gunderson et al.		
Group Art Unit	Not Yet Assigned		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	A-69605-1/DJB/RMS/DCF		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	111	Michael et al., "Making Sensors out of Disarray: Optical Sensor Microarrays," Proc. SPIE, 3270: 34-41 (1998).	
	112	Michael et al., "Randomly Ordered Addressable High-Density Optical Sensor Arrays," Anal. Chem. 70(7): 1242-1248 (April 1998).	
	113	Michael et al., "Fabrication of Micro- and Nanostructures Using Optical Imaging Fibers and there Use as Chemical Sensors," Proc. 3rd Intl. Symp., Microstructures and Microfabricated Systems, ed. P.J. Hesketh, et al., v. 97-5, Electrochem. Soc., 152-157 (Aug. 1997).	
	114	Mignani, et al., "In-Vivo Biomedical Monitoring by Fiber-Optic Systems," Journal of Lightwave Technology, 13(7): 1396-1406 (1995).	
	115	Pantano et al., "Ordered Nanowell Arrays," Chem. Mater., 8(12): 2832-2835 (1996).	L
	116	Peterson et al., "Fiber-Optic Sensors for Biomedical Applications," Science, 13:123-127 (1984).	L
·	117	Peterson, J. et al., "Fiber Optic pH Probe for Physiological Use," Anal. Chem., 52:864-869 (1980).	L
	118	Piunno et al., "Fiber-Optic DNA Sensor for Fluorometric Nucleic Acid Determination," Anal. Chem., 67:2635-2643 (1995).	
	119	Pope, E. "Fiber Optic Chemical Microsensors Employing Optically Active Silica Microspehres," SPIE, 2388:245-256 (1995).	
	120	Strachan et al., "A Rapid General Method for the Identification of PCR Products Using a Fibre-Optic Biosensor and its Application to the Detection of Listeria," Letters in Applied Microbiology, 21:5-9 (1995).	
	121	Walt, D. "Fiber Optic Imaging Sensors," Accounts of Chemical Research, 31(5): 267-278 (1998).	
	122	Walt, "Fiber-Optic Sensors for Continuous Clinical Monitoring," Proc. IEEE, 80(6): 903-911 (1992).	
	123	Lyamichev et al., "Polymorphism identification and quantitative detection of genomic DNA by invasive cleavage of oligonucleotide probes," Nature Biotechnolgoy, 17:292-296 (1999).	
	124	Shoemaker et al., "Quantitative phenotypic analysis of yeast deletion mutants using a highly parallel molecular bar-coding strategy," Nature Genetics, 14:450-456 (1996). (A, AS, NA) added 8/1/01	
			L
	-		T
			Ι
			L

Examiner	Date	
Signature	Cons	sidered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (1065860)

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English Language Translation is attached.